

## Schrödinger equation in 3D

So far we have studied quantum systems in 1D. The generalization of the Schrödinger equation to the case of 3D is straightforward. We just change  $V(x) \rightarrow V(\vec{r})$  and also modify the kinetic energy in the Hamiltonian:

$$\frac{\hat{p}_x^2}{2m} \longrightarrow \frac{|\hat{\vec{p}}|^2}{2m} = \frac{\hat{p}_x^2}{2m} + \frac{\hat{p}_y^2}{2m} + \frac{\hat{p}_z^2}{2m}$$

where, as usual,

$$\hat{p}_x = -i\hbar \frac{\partial}{\partial x} \quad \hat{p}_y = -i\hbar \frac{\partial}{\partial y} \quad \hat{p}_z = -i\hbar \frac{\partial}{\partial z}$$

or simply

$$\hat{\vec{p}} = -i\hbar \nabla$$

The Schrödinger equation then looks as follows

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi + V(\vec{r}) \Psi$$

When the potential  $V$  does not depend on time explicitly then the general solution of the Schrödinger equation can be written as

$$\Psi(\vec{r}, t) = \sum_n c_n \psi_n(\vec{r}) e^{-\frac{iE_n t}{\hbar}}$$

where  $\psi_n$  are the solutions of the stationary SE

$$\hat{H} \psi_n(\vec{r}) = E_n \psi_n(\vec{r})$$

## Separation of variables for spherically symmetric potentials

In many practical cases the interaction between particles depends only on the distance between them. In other words,  $V = V(|\vec{r}|)$ . It is natural to use spherical

coordinates then:

$$x = r \sin \theta \cos \phi$$

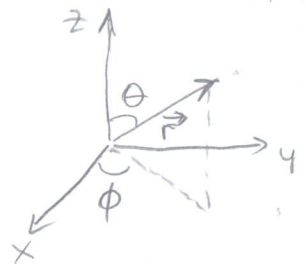
$$y = r \sin \theta \sin \phi$$

$$z = r \cos \theta$$

$$r = \sqrt{x^2 + y^2 + z^2}$$

$$\iff \theta = \arctan\left(\frac{z}{\sqrt{x^2 + y^2 + z^2}}\right)$$

$$\phi = \arctan\left(\frac{y}{x}\right)$$



In the new (spherical) coordinates we can separate variables, much in the same way as we did when we had  $V \neq V(t)$  and we separated  $x$  and  $t$ .

The Laplacian in spherical coordinates is:

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} r^2 \frac{\partial}{\partial r} + \frac{1}{r^2} \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial \theta} \sin\theta \frac{\partial}{\partial \theta} + \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial \phi^2} \right]$$

The time-independent Schrödinger equation is then

$$-\frac{\hbar^2}{2m} \left( \frac{1}{r^2} \frac{\partial}{\partial r} r^2 \frac{\partial \Psi}{\partial r} + \frac{1}{r^2} \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial \theta} \sin\theta \frac{\partial \Psi}{\partial \theta} + \frac{1}{\sin^2\theta} \frac{\partial^2 \Psi}{\partial \phi^2} \right] \right) + V(r)\Psi = E\Psi$$

We look for solutions in the form  $\Psi(r, \theta, \phi) = R(r)Y(\theta, \phi)$

Plugging this product in the above equation yields

$$-\frac{\hbar^2}{2m} \left( Y \frac{1}{r^2} \frac{\partial}{\partial r} r^2 \frac{\partial R}{\partial r} + \frac{R}{r^2} \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial \theta} \sin\theta \frac{\partial Y}{\partial \theta} + \frac{1}{\sin^2\theta} \frac{\partial^2 Y}{\partial \phi^2} \right] \right) + V(r)RY = ERY$$

If we now divide everything by  $RY$  and by  $-\frac{\hbar^2}{2mr^2}$

we get:

$$\underbrace{\frac{1}{R} \frac{\partial}{\partial r} r^2 \frac{\partial R}{\partial r} - \frac{2mr^2}{\hbar^2} V(r) + \frac{2mr^2 E}{\hbar^2}}_{\text{independent of } \theta, \phi \text{ so must be a constant} = l(l+1)} + \underbrace{\frac{1}{Y} \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial \theta} \sin\theta \frac{\partial Y}{\partial \theta} + \frac{1}{\sin^2\theta} \frac{\partial^2 Y}{\partial \phi^2} \right]}_{\text{independent of } r, \text{ so must be a constant} = -l(l+1)} = 0$$

The choice of the constant in the form of  $l(l+1)$  is made purely for convenience (we will see later that  $l$  will only take integer values) and is not restrictive.

Next, we will focus on the equation that contains  $\theta$  and  $\phi$  variables. This equation occurs in many problems that have spherical symmetry (not only in quantum mechanics)

$$\sin\theta \frac{\partial}{\partial \theta} \left( \sin\theta \frac{\partial Y}{\partial \theta} \right) + \frac{\partial^2 Y}{\partial \phi^2} = -l(l+1) \sin^2\theta Y$$

Here we can, again, separate variables ( $\theta$  and  $\phi$ ) by

$$l = 0, 1, 2, \dots$$

Moreover  $|m| \leq l$ , otherwise  $P_l^m = 0$

Thus, for each  $l$  value  $m$  ranges from  $-l$  to  $l$ .

Functions  $Y(\theta, \phi)$  then have the following form:

$$Y_l^m(\theta, \phi) = C_{lm} P_l^m(\cos \theta) e^{im\phi}$$

where  $C_{lm}$  is a normalization constant

Remember that the element of volume in spherical coordinates is  $d\vec{r} = dx dy dz = r^2 \sin \theta dr d\theta d\phi$ . The normalization condition for  $Y_l^m$  looks as follows:

$$\int_0^{2\pi} \int_0^\pi |Y_l^m(\theta, \phi)|^2 \sin \theta d\theta d\phi = 1 \quad \left[ r^2 \text{ goes to the normalization integral for } R(r) \right]$$

Any general solution of the angular part of the Schrödinger equation can be represented as a linear combination of  $Y_l^m(\theta, \phi)$  and

$$\int_0^{2\pi} \int_0^\pi [Y_l^m(\theta, \phi)]^* [Y_{l'}^{m'}(\theta, \phi)] \sin \theta d\theta d\phi = \delta_{ll'} \delta_{mm'}$$

Functions  $Y_l^m(\theta, \phi)$  are called spherical harmonics. Quantum numbers  $l$  and  $m$  are called the azimuthal and magnetic quantum numbers.

The complete expression for  $Y_l^m$  (including the normalization factor) is

$$Y_l^m(\theta, \phi) = (-1)^m \sqrt{\frac{(2l+1)(l-|m|)!}{4\pi(l+|m|)!}} P_l^m(\cos \theta) e^{im\phi}$$

The first few  $Y_l^m$ 's are:  $Y_0^0 = \frac{1}{\sqrt{4\pi}}$

$$Y_1^{\pm 1} = \mp \sqrt{\frac{3}{8\pi}} \sin \theta e^{\pm i\phi} \quad Y_1^0 = \sqrt{\frac{3}{4\pi}} \cos \theta$$